WELLth

Personal Information

	Client (C)				Co-Client (Co)		
Name							
Date of Birth	/ /	Gender	☐M ☐F		/ /	Gender	☐M ☐F
Employment Income	$	or ☐ Retired			$	or ☐ Retired	
Marital Status			State of Residence				

Children and Grandchildren (or any other Participant included in this plan)

Name	Date of Birth	Relationship
	/ / age: _______	
	/ / age: _______	
	/ / age: _______	

Retirement Age and Living Expense

When would you like to retire? Enter your Target Retirement Age. Then, indicate how willing you are to delay retirement beyond that age, if it helps you fund your Goals. Then, indicate your living expense amount.

	Client (e.g., age 65)	Co-Client (e.g., age 65, together)	☐ Use My Estimate $____________ ☐ Use Program Estimate*
At what age would you like to retire?			
How willing are you to retire later?	☐ Not at All ☐ Slightly ☐ Somewhat ☐ Very	☐ Not at All ☐ Slightly ☐ Somewhat ☐ Very	*Your living expense will be estimated (approximately 60%-70% of total employment income) and two goals will be created: Basic Living Expense (Need) and Extra Living Expense (Want).

Lifestyle Goals

Lifestyle Goals are above and beyond what you need to pay for basic expenses. Rate the importance of each Goal on a scale of 10 ⟷ 1. This will identify your goals by Needs (10, 9, 8), Wants (7, 6, 5, 4), and Wishes (3, 2, 1).

Most Common Goals		Other Goals		
Car	College	Wedding	New Home	Celebration
Travel	Home Improvement	Major Purchase	Start Business	Provide Care
Health Care	Gift or Donation	Leave Bequest	Private School	

Importance High Low 10 ⟷ 1	Description	Start Year	Start At Retirement C	Co	Both	Target Amount	How Often	How Many Times
8	*e.g., Ann's Lexus*	*2017*	☐	☐	☐	$ *35,000*	*Every 4 Yrs*	*5*
			☐	☐	☐	$		
			☐	☐	☐	$		
			☐	☐	☐	$		
			☐	☐	☐	$		
			☐	☐	☐	$		

Social Security Benefits

	Client	Co-Client
Are you eligible?	☐Yes ☐No ☐Receiving Now	☐Yes ☐No ☐Receiving Now
Amount of benefit	$ ☐Use Program Estimate ☐Primary Insurance Amount (PIA) $ _________	$ ☐Use Program Estimate ☐Primary Insurance Amount (PIA) $ _________
When to start	☐at Full Retirement Age (per Social Security) ☐at age _______ ☐at retirement	☐at Full Retirement Age (per Social Security) ☐at age _______ ☐at retirement

$ Retirement Income (pension, part-time work, rental property, annuities, royalties, alimony)

Description	Owner		Monthly Amount	Starts	Ends	Inflates?	% Survivor (Pension Only)
	C	Co					
	☐	☐	$			☐	%
	☐	☐	$			☐	%

Investment Assets

Estimate of overall allocation: Cash _____% Bond _____% Stock _____%

Description	Client		Co-Client	
	Value	Annual Additions	Value	Annual Additions
Total Employer Retirement Plan	$	$	$	$
Total Traditional IRA	$	$	$	$
Total Roth IRA	$	$	$	$
Total Tax-Deferred	$	$	$	$

Joint Assets	Value	Annual Additions	Joint Assets	Value	Annual Additions
Total Taxable	$	$	Total Tax-Free	$	$

Extra Savings

Enter the maximum extra (additional) amount you could save each year:	$	How willing are you to save more?	☐Not at All ☐Slightly ☐Somewhat ☐Very

Life Insurance - *complete this section to have your Life Insurance coverage reviewed & analyzed.*

	Client	Co-Client	Notes (e.g., premiums, beneficiaries)
Group/Term Life Insurance	☐Yes ☐No	☐Yes ☐No	
Death Benefit	$	$	
Cash Life Insurance	☐Yes ☐No	☐Yes ☐No	
Death Benefit	$	$	
Cash Value	$	$	

Risk Score

How much market risk are you willing to accept? On a scale of 1 to 100, with 1 being the lowest risk and 100 being the highest risk, what's your risk score?

Household	Client	Co-Client

Two thirds of all investors score between 40 and 60, and only 1 in 1000 select a score lower than 20 or greater than 80. Does your score feel right as you compare yourself to others?

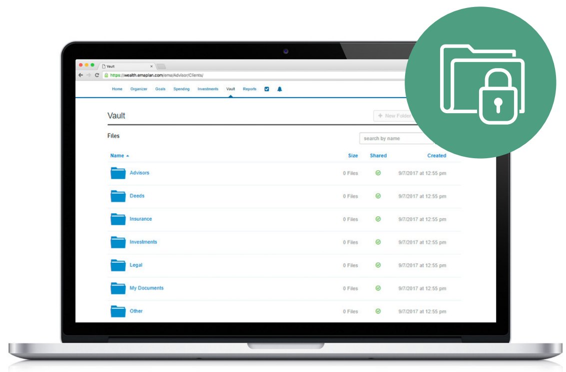
	Household			Men			Women		
Age Group	> 64	50-64	< 50	> 64	50-64	< 50	> 64	50-64	< 50
Avg Score	47	50	54	50	54	59	45	48	52

Notes

VAULT CHECKLIST

Protect Your Important Documents

With unlimited secure document storage, you can organize and protect your most important documents. Review the checklist below and start adding to your Vault today!



LEGAL DOCUMENTS

- ☐ Wills
- ☐ Deeds
- ☐ Certificate of Trust
- ☐ Power of Attorney
- ☐ Codicils (Supplements made to a Will)
- ☐ Living Wills/Health Directives
- ☐ Prenuptial Agreements
- ☐ Buy/Sell Agreements
- ☐ Contracts

BENEFITS

- ☐ Social Security Statement
- ☐ Veteran's Administration Info
- ☐ Employer Benefits - Annual Enrollment

INSURANCE POLICIES

- ☐ (Life Insurance - Policy Summary or In - Force Illustration with Premium amount, LTC - Policy Summary and premium amount, Disability- Policy Summary and Premium Amount, Medical, Car, Property)

BANK AND INVESTMENT STATEMENTS, SAVINGS ACCOUNT BALANCE AND ONGOING CONTRIBUTIONS

- ☐ 401(k), Other Retirement Plans, IRAs, Roth IRAs, Annuities, Pensions
- ☐ Investment Accounts (Most recent and 12/31 statements)
- ☐ Stock Options/Certificates, Savings Bonds- Series I, Series EE

BENEFICIARY INFORMATION - DOB & SSN

- ☐ Birth Certificates
- ☐ Drivers Licenses
- ☐ Passports
- ☐ Social Security Cards

FAMILY

- ☐ Adoption Papers
- ☐ Medical Records
- ☐ Marriage License
- ☐ Pictures
- ☐ Audio Files
- ☐ Video Clips

PROPERTY

- ☐ Titles to Homes, Autos, Boats, etc.
- ☐ Warranties

TAXES

- ☐ Tax Returns
- ☐ W-2 Forms (Recent and 12/31)

LIABILITIES

- ☐ List of Credit Card Statements with balances and contact information
- ☐ Mortgages
- ☐ Loans (Auto, Student, Personal)

Wealth management (i.e. WELLth) services are provided separately from retirement plan consulting services you may receive from SRP. They may involve an advisory agreement and/or an additional fee.